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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

William F. Dolphin and Andrew Quick

Application Number:

To be assigned

Filing Date:

Herewith

Title:

Ear Probe and Disposable Tip System

Group Art Unit: Examiner:

Unknown Unknown

Attorney Docket:

**SNA-002-US** 

Certificate of Express Mailing

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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AND PTO FORM 1449

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir,

Pursuant to the duty of disclosure (37 C.F.R. 1.97 and 1.98), attached hereto are:

- 1. An Information Disclosure Statement (PTO 1449) (1 page) listing documents (5 documents total);
- A copy of the PCT International Search Report (dated December 21, 2004) for International Application No. PCT/US2004/016200 (2 pages); and
- 3. Copies of the non-US cited documents (1 document).

Because this paper is being filed prior to the 1<sup>st</sup> Office Action, no fee is believed to be due at this time.

However, should the PTO determine that a fee is required; the PTO is hereby authorized to charge such fee, and any other required fee to Gesmer Updegrove, LLP PTO Deposit Account No. 122315.

If additional information is required, you are invited to immediately contact the undersigned via telephone or facsimile at the numbers listed below. Thank you for your attention to this matter.

Respectfully submitted,

David Jacobs // Reg. No. 31,770

Gesmer Updegrove, LLP

Boston, MA 02109 Tel: (617) 350 6800

Fax: (617) 350 6878

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Complete if Known

Application Number unknown

Filing Date November 22, 2005

First Named Inventor William F. Dolphin

Art Unit unknown

Examiner Name unknown

Attorney Docket Number | SNA-002-US

Sheet 1

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 3,146,775 A	09/01/1964	Connors et al.	
		<sup>US-</sup> 5,002,151 A	03/26/1991	Oliveira et al.	
_		<sup>US-</sup> 5,742,692 A	04/21/1998	Claes et al.	
•		<sup>US-</sup> 5,916,174 A	06/29/1999	Dolphin	
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		US-			
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Examiner Initials*	Cite No. <sup>1</sup>	FORE Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY	Applicant of Cited Document		
		EP 1 039 779 A	09/27/2000	Decibel Instr. Inc.		
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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